

AUDIT & GOVERNANCE COMMITTEE**MEETING DATE 27th JULY 2022****WORK PLAN**

Meeting date at which action to be undertaken	Subject and Brief Description	Who will present the report	Intended Outcome
30 November 2022	Treasury Performance half-yearly report	Andrew Snape	Gain assurance that treasury management activities are in line with the current Treasury Management Strategy
	Internal Audit Progress Report 2022/23	McJoy Nkhoma/Emma Bee (Assurance Lincolnshire)	Understand the level of assurance for audited activities and ensure management progress recommended actions to mitigate identified risks
	Counter-Fraud Activity Report	Nick Wilson	Gain assurance that counter-fraud activity is appropriately targeted and effective
	Statement of Accounts 2021/22 & Annual Governance Statement	Nick Wilson	Gain assurance on the integrity of financial reporting By considering the assurance gained through its activities throughout the previous year, to give assurance that the Council's Annual Governance Statement accurately represents governance arrangements, that future risks are identified, and that arrangements in place support the achievement of the Council's objectives
	Risk Management report	Richard Bates	Gain assurance that appropriate risk management arrangements are in place
	Review of new Governance Arrangements adopted by Full Council in May 2022	Sue Bearman	To consider the effectiveness of Executive Arrangements (the Cabinet System) and whether any Constitutional changes should be recommended to Full Council
	Local Government and Social Care Ombudsman (LGSCO) Annual Report	Jill Baker	To consider the annual report regarding complaints submitted to the LGSCO

1 February 2023	Annual Audit Letter 2021/22	David Hoose (Mazars)	Gain assurance on the Council's Statement of Accounts and arrangements for achieving Value for Money
	Draft Treasury Strategy 2023/24	Andrew Snape	Gain assurance that risks in relation to the Council's treasury management activities are to be managed in accordance with need and the Council's risk appetite
	Draft Capital Strategy 2023/24	Andrew Snape	Outlines the principles and framework that shape the Council's capital proposals
	Draft Investment Strategy 2023/24	Andrew Snape	The investment strategy is a new report for 2019/20, meeting the requirements of statutory guidance issued by the government.
	Internal Audit Progress Report 2022/23	McJoy Nkhoma/Emma Bee (Assurance Lincolnshire)	Understand the level of assurance for audited activities and ensure management progress recommended actions to mitigate identified risks
	Review of significant internal control issues highlighted in the Annual Governance Statement	Nick Wilson	Gain assurance that the Council is making progress on any governance issues that were raised in the AGS
	Regulation of Investigatory Powers Act Annual Report	Sue Bearman	To provide details of any RIPA activity undertaken in 2022/3
26 April 2023	Risk Management report	Richard Bates	Gain assurance that appropriate risk management arrangements are in place
	Statement of Accounting Policies 2022/23	Andrew Snape	Gain assurance that the Council has appropriate accounting policies in place that reflect the way items are treated in the annual Statement of Accounts
	Counter-Fraud Activity Report	Nick Wilson	Gain assurance that counter-fraud activity is appropriately targeted and effective
	Fraud Risk Assessment	Nick Wilson	Gain assurance that the Council understands its fraud risks and that actions are put in place to address them
	IAS19 Pension Assumptions 2022/23	Andrew Snape	Gain assurance that the pension assumptions used by the actuary to produce the figures in

			the Statement of Accounts are appropriate for the Council's circumstances
	Property, Plant and Equipment Valuation Assumptions 2022/23	Andrew Snape	Gain assurance that the assumptions used by the Council's valuers to produce the figures in the Statement of Accounts are appropriate for the Council's circumstances
	Annual Internal Audit Plan 2023/24	McJoy Nkhoma/Emma Bee (Assurance Lincolnshire)	Ensure that an appropriate plan is in place which will provide assurance on the Council's activities
	Internal Audit Progress Report 2022/23	McJoy Nkhoma/Emma Bee (Assurance Lincolnshire)	Understand the level of assurance for audited activities and ensure management progress recommended actions to mitigate identified risks
	Standards Annual Report	Sue Bearman	To provide details of Code of Conduct complaints received in 2022/23